



90

3754

(DS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Shelton

SERIAL NO.: 09/996,328

GROUP ART UNIT:

FILED: 11/28/2001

EXAMINER:

FOR: "Method and Apparatus For Disinfecting a Refrigerated Water Cooler Reservoir"

ATTORNEY DOCKET NO.: P01252US (98134.6P)

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed is a form PTO/SB/08a. Copies of the foreign references are enclosed.

Applicant respectfully submits that the application is in condition for allowance. A Notice of Allowance is hereby respectfully requested.

Should the Examiner feel that a telephone conference would advance the prosecution of this application, he is encouraged to contact the undersigned at the telephone number listed below.

Applicant respectfully petitions the Commissioner for any extension of time necessary to render this paper timely.

No fee is required to submit this form. However, if a fee is necessary, please charge any additional required fees to deposit Account No. 50-0694.

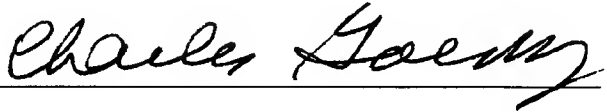
Respectfully submitted,



Charles C. Garvey, Jr., Reg. No. 27,889
Gregory C. Smith, Reg. No. 29,441
Seth M. Nehrbass, Reg. No. 31,281
Stephen R. Doody, Reg. No. 29,062
Brett A. North, Reg. No. 42,040
GARVEY, SMITH, NEHRBASS & DOODY, L.L.C.
PTO Customer No. 22920
3838 N. Causeway Blvd., Suite 3290
Metairie, LA 70002
Tel.: (504) 835-2000
Fax: 504-835-2070
e-mail: IPLNO@AOL.COM
www.neworleanspatents.com

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 , on this 3 day of August, 2004.





PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	
				09/996,328	
				Filing Date	
				November 28, 2001	
				First Named Inventor	
		James J. Shelton			
Art Unit					
Examiner Name					
Sheet	1	of	4	Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		us- 1,403,025	01-10-1922	HAASE	
		us- 2,093,619	09-21-1937	POWELL	
		us- 2,947,525	08-02-1960	KLEIN	
		us- 3,448,045	06-03-1969	E.A.HESS ET AL	
		us- 3,692,180	09-19-1972	LARAUS	
		us- 3,726,404	04-10-1973	TROGLIONE	
		us- 3,967,131	06-29-1976	SLPIEC	
		us- 3,970,731	07-20-1976	OKSMAN	
		us- 4,019,986	04-26-1977	BURRIS ET AL	
		us- 4,035,657	07-12-1977	CARLSON	
		us- 4,410,495	10-18-1983	BASSLER	
		us- 4,764,349	08-16-1988	ARFF	
		us- 4,776,127	10-11-1988	JACKSON	
		us- 4,805,808	02-21-1989	LARSON	
		us- 4,842,723	06-27-1989	PARKS ET AL	
		us- 5,015,394	05-14-1991	MCELLHENNEY ET AL	
		us- 5,106,495	04-21-1992	HUGHES	
		us- 5,256,282	10-26-1993	CHANG	
		us- 5,295,519	03-22-1994	BAKER ET AL	
		us- 5,328,059	07-12-1994	CAMPBELL	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		2 022 979 A	12-19-1979	SOLYMAR		
		0 163 750	12-11-1985			
		361,103,595	05-22-1986			
		WO/88/04279	06-16-1988	LARSON		
		WO/92/04969	04-02-1992	DENNIS		
		WO 93/17725	09-16-1993	BURRIS		
		WO 97/42924	11-20-1997	ENGELHARD, ET AL		
		EP O 739 312 B1	10-30-1996	SUTERA		
		WO/00/38815	07-06-2000	Davis		

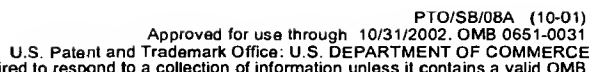
Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Alison\Alison2\98134.6P-IDS2



Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	2	of	4
-------	---	----	---

Complete if Known

Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS

Examiner Initials ²	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ³ (if known)			
		us- 5,366,619	11-22-1994	MATSUI ET AL	
		us- 5,431,861	07-11-1995	NAGAHIRO ET AL.	
		us- 5,503,809	04-02-1996	COATE	
		us- 5,531,908	07-02-1996	MATSUMOTO ET AL	
		us- 5,567,322	10-22-1996	RUNDLE ET AL.	
		us- 5,582,717	12-10-1996	DI SANTO	
		us- 5,587,089	12-24-1996	VOGEL ET AL	
		us- 5,632,268	05-27-1997	ELLIS ET AL	
		us- 5,669,221	09-23-1997	LEBLEU ET AL	
		us- 5,683,576	11-04-1997	OLSEN	
		us- 5,698,164	12-16-1997	KISHIOKA	
		us- 5,862,975	01-26-1999	CHILDRESS	
		us- 5,871,701	02-16-1999	LONG	
		us- 6,050,474	04-18-2000	AOTA ET AL.	
		us- 6,053,391	04-25-2000	HEIDEMAN ET AL.	
		us- 6,085,540	07-11-2000	DAVIS	
		us- 6,149,804	11-21-2000	CHUNG ET AL.	
		us- 6,270,733	08-07-2001	RODDEN	
		us- 6,289,690	09-18-2001	DAVIS	
		us- 6,361,686	03-26-2002	CONRAD	

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

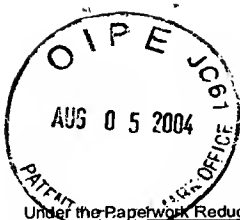
Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Alison\Alison2\98134.6P-



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

Sheet 3 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,247,633	06-19-2001	WHITE ET AL.	
		US- 6,328,261 B1	12-11-2001	WOLLASTON ET AL.	
		US- 6,481,219	11-19-2002	PALERMO	
		US- US2003/0071069A1	04-17-2003	SHELTON	
		US- 6,561,382 B2	05-13-2003	SHELTON	
		US- 6,568,900 B2	05-27-2003	CONRAD ET AL.	
		US-			
		US- 5,567,332	10-22-1996	MEHTA	
		US- 6,532,760	03-18-2003	DAVIS	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

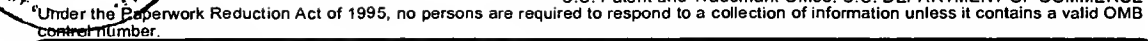
Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	4	of	4
-------	---	----	---

Complete if Known

Application Number	09/996,328
---------------------------	------------

Filing Date	November 28, 2001
--------------------	-------------------

First Named Inventor	James J. Shelton
----------------------	------------------

Group Art Unit

Examiner Name

Attorney Docket Number	P01252US (98134.6P)
------------------------	---------------------

[illegible]

Date	
Considered	

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.